

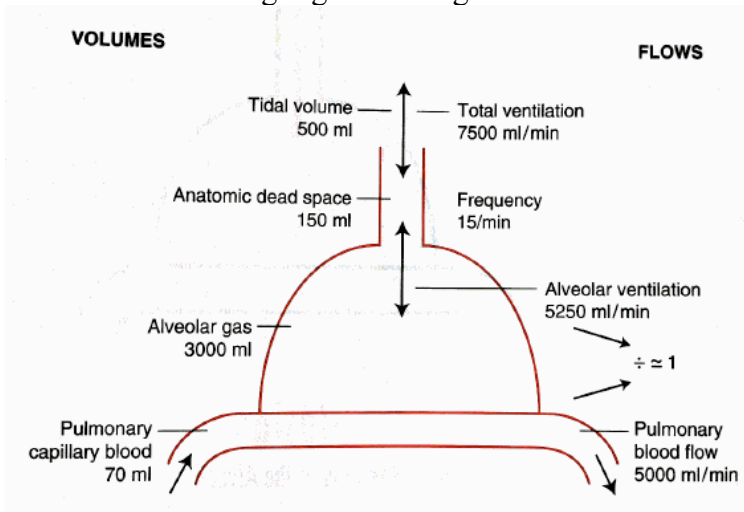
3. Ventilation

Table of Contents

Volumes	2
Static Volumes.....	2
Techniques to Measure RV/FRC/TLC.....	3
Helium Dilution Technique.....	3
Body Plethysmograph	3
Ventilation	4
Dead Space	4
Definitions.....	4
Effects on Dead Space	5
Alveolar DS Calculations	5
Physiological Importance of Dead Space	6
Anatomic Dead Space	6
Physiologic Dead Space.....	8
Functional Residual Capacity	9
Definition.....	9
Effects on FRC	9
Functions of FRC:.....	9
Closing Volume/Capacity	10
Measurement	10
Measurement of FRC or RV	11
Relationship b/w FRC, CC & V/Q Matching	11

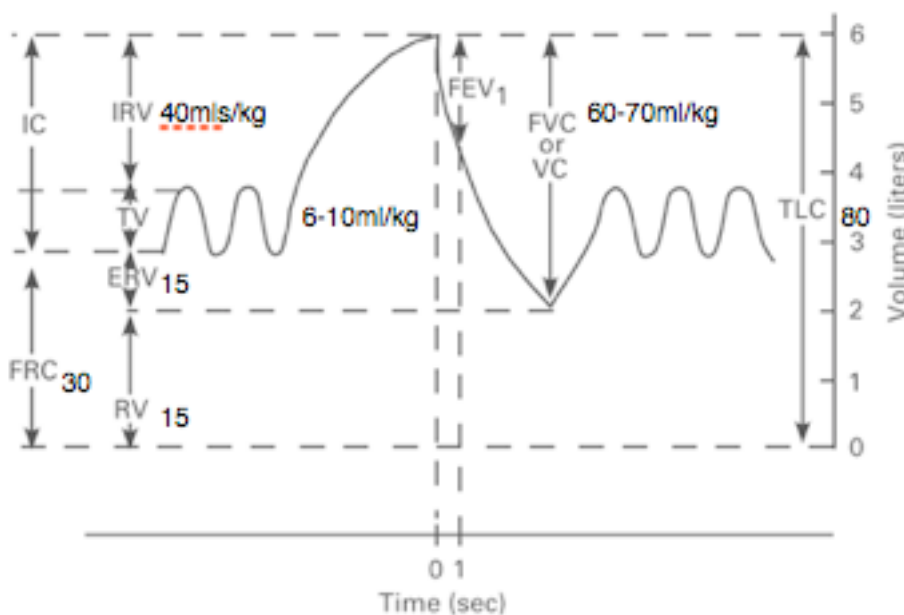
Volumes

- Prime role of lung = gas exchange



Static Volumes

- This = spirometry trace which includes a max insp & expiratory effort:



- Volumes:

- RV = residual volume (15-20ml/kg)
 - Volume left after forced expiration
- ERV (10-15ml/kg)
 - Volume forcefully expired after normal tidal exp
- IRV = (45ml/kg) volume inspired over norm tidal insp

↳ All above values can be measured by spirometry.

↳ spirometry cannot measure RV

7,5 ml/kg	- TV
15	-RV
15	-ERV
30	-FRC
45	- IRV
60	-VC
75	-TLC

- Volumes added together = capacity

- Total lung capacity (75-80ml/kg) (RV+ERV+TV+IRV)
- Vital capacity (60-70ml/kg) (TV+IRV+ERV)
- Functional residual capacity (30ml/kg) (ERV+RV)

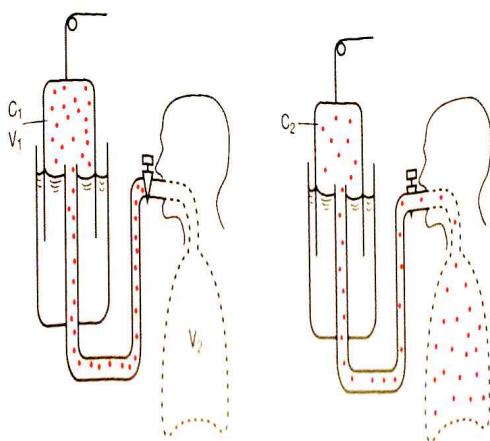
Techniques to Measure RV/FRC/TLC

Helium Dilution Technique

- Helium dilution technique & spirometer:
 - Virtually insoluble in blood
 - Method:
 - Closed circuit system
 - Amount of helium in spirometer is known at beginning of test
↳ concentration (C1) x volume (V1) = amount
 - Pt then breathe number of tidal volume starting at FRC ⇒ helium spreads & equilibrates into lungs from circuit
 - Spirometer measures change in concentration of helium in whole circuit (now including lungs)
 - ∴ can now derive volume of lungs :

$$V_2 = \frac{V_1 \times (C_1 - C_2)}{C_2}$$

$$V_2 = \text{FRC}$$



Before equilibration

After equilibration

- Nitrogen washout (dilutional method) – see later

Body Plethysmograph

- Body plethysmograph (BPG):
 - Whole person in airtight box, breathes normally
 - At some stage shutter closes off airway ie pt making resp effort against closed airway:
 - End normal expiration = FRV
 - End full expiration = RV
 - 2 manometers in place:
 - Box pressure (P1 & P2)
 - Mouth pressure = airway pressure (P3 & P4)
 - Boyles Law ($P \times V = K$) = pressure x volume = constant (at a constant temp)
 - Calculation of values in the box:
 - Before resp effort is made certain volumes are known:
 - Pressure in box = P1
 - Volume in box = V1
 - Pt now makes resp effort against closed airway and chest expands by unknown (ΔV)
 - Volume of box must be decreased by ?amount as chest expands
 - Boyles Law ∴ says pressure must go up = P2 which measured in box
 - Leaves calculation:

$$P_1 \times V_1 = P_2 \times (V_1 - \Delta V)$$

Want to know ΔV so rearrange equation:

- Calculation of values in the patient:
 - Before effort against closed airway the mouth pressure (P_3) and lung volume = FRC
 - ↳ or RV (depending on when closed)
 - After effort pts lung volume \uparrow s by ΔV (which we know from box pressures)
 - \therefore (boyles) mouth pressure must decrease (P_4)
 - so for the patient:

$$P_3 \times \text{FRC} = P_4 \times (\text{FRC} + \Delta V)$$

- \therefore FRC is only unknown variable which can be calculated by rearranging equation
- which to chose:
 - \therefore in diseased lung should use BPG
 - ↳ other techniques wont measure volume behind blocked airways

Ventilation

Total Ventilation

- $V_t = 500\text{ml}$ & RR 15/min:
 - Total ventilation: = $V_t \times \text{RR}$

$$500 \times 15 = 7500\text{ml/min}$$
 - ↳ volume of air entering is slightly greater as more O_2 is taken in than CO_2 is given out

Alveolar ventilation:

- = $V_t - \text{dead space} \times \text{RR}$
- = amount getting to respiratory zone
- anatomic dead space = 150mls \therefore alveolar vent = $500 - 150 \times 15 = 5250\text{ml/min}$
- represents amount fresh air available for gas exchange
- can \uparrow alveolar vent by \uparrow ing V_t or \uparrow ing RR
 - ↳ but \uparrow ing V_t is more efficient as less wasted to dead space
- can be measured by
 - calculating dead space ventilation :
 - (volume \times resp frequency) and subtracting from total ventilation
 - but measuring dead space can be difficult
 - concentration of CO_2 in expired gas
 - no gas exchange in anatomic dead space \therefore no CO_2 at end inspiration
 - PCO_2 of alveolar gas & arterial blood are virtually identical
 - ↳ allows arterial PCO_2 to determine alveolar ventilation
 - If alveolar vent is halved \Rightarrow $\times 2 \uparrow$ alveolar & arterial PCO_2 (if CO_2 production constant)

Dead Space

Definitions

- Anatomical DS = volume of conducting airways (ie volume of gas in airways NOT alveoli)
- Alveolar DS = volume of air beyond conducting airways which does not participate in gas exchange (ie V/Q infinity)
- Physiological DS = that part of tidal volume which does not participate in gas exchange
 - ↳ ie sum of anatomical + alveolar DS

= a functional assessment

- Alveolar gas = gas from alveoli that are both ventilated and perfused ie alveoli taking part in gas exchange & \therefore contain CO_2
- Ideal alveolar gas = theoretical gas from alveoli with $V/Q = 1$
- Mixed expired gas:
 - = one or more complete breaths of expired gas coming thoroughly mixed from physiological dead space & alveoli
 - \therefore contains content from:
 - ideal alveolar gas
 - alveolar DS
 - anatomical DS
 - usual indicated by \bar{E} . (eg $P_{\bar{E}} \text{CO}_2 = p\text{CO}_2$ in mixed exp gas)
- End expired gas (aka end tidal gas):
 - Contains:
 - Ideal alveolar gas
 - Alveolar DS
 - Indicated by E'

Effects on Dead Space

Anatomical dead space is **increased** in:

- i. Old age
- ii. Neck extension
- iii. Jaw protrusion
- iv. Bronchodilators
- v. Increasing lung volume
- vi. Atropine (causes bronchodilation)
- vii. Anesthesia mask, circuits
- viii. Intermittent positive pressure ventilation (IPPV) and positive end expiratory pressure (PEEP).

Anatomical dead space is **decreased** by:

- i. Intubation (nasal cavity is bypassed and diameter of tube is less than airway diameter)
- ii. Tracheostomy (upper airways and nasal cavity bypassed)
- iii. Hyperventilation (decreasing lung volume)
- iv. Neck flexion
- v. Bronchoconstrictors

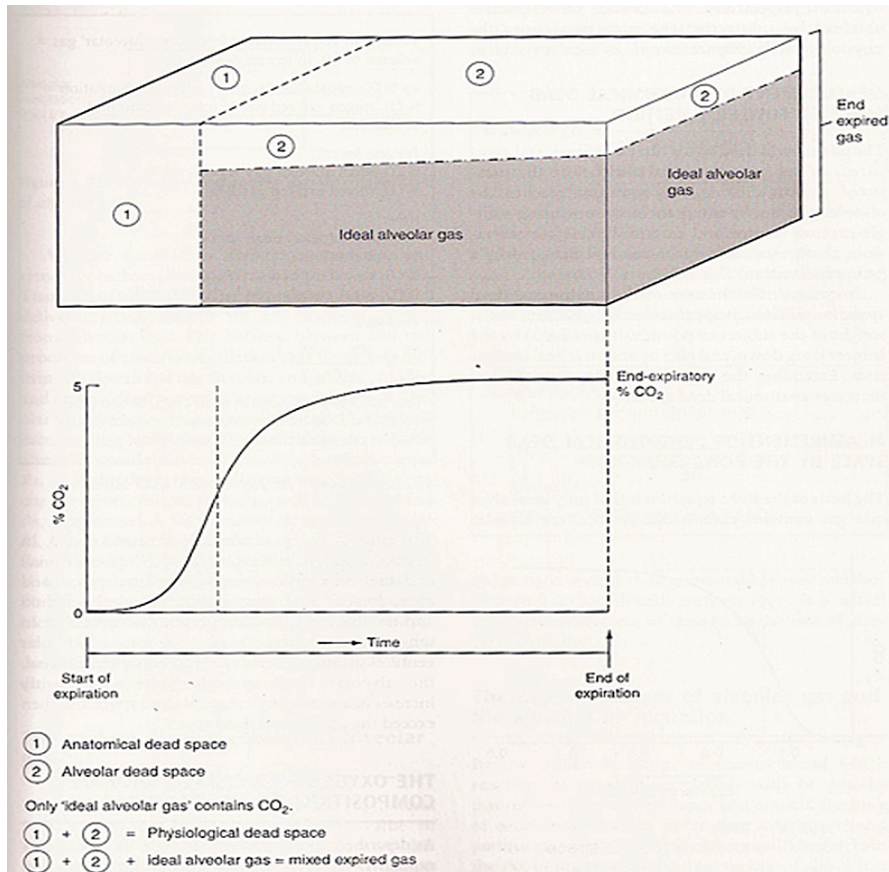
Alveolar Dead Space

It is **increased** by:

1. Lung pathologies affecting diffusion at alveolar capillary membrane like interstitial lung disease, pulmonary embolism, pulmonary edema and ARDS.
- ii: General anesthesia.
- iii. IPPV (Intermittent positive pressure ventilation).
- iv. PEEP (Positive end expiratory pressure).
- v. Hypotension.

Alveolar DS Calculations

- Index for amount of alveolar DS = $D(a-E')\text{CO}_2$ (arterial - end expiratory PCO_2 difference)
 - \hookrightarrow is possible as
 - $\text{PaCO}_2 \sim \text{P}_A\text{CO}_2$ in ideal alveolus – as CO_2 highly diffusible
 - Normal value = $\sim 3\text{-}5\text{mmHg}$
 - \uparrow ed in PE
 - \downarrow ed in CO
- NB:
 - P_ACO_2 roughly = to pulmonary end capillary blood
 - BUT see a slight \uparrow PaCO_2 : PACO_2 because of V/Q mismatch (or shunt):
 - 10% shunt $\Rightarrow 0.7\text{mmHg}$ diff
 - 30% shunt $\Rightarrow \sim 2\text{mmHg}$
 - \hookrightarrow this would obviously confound the alveolar DS calculation as above



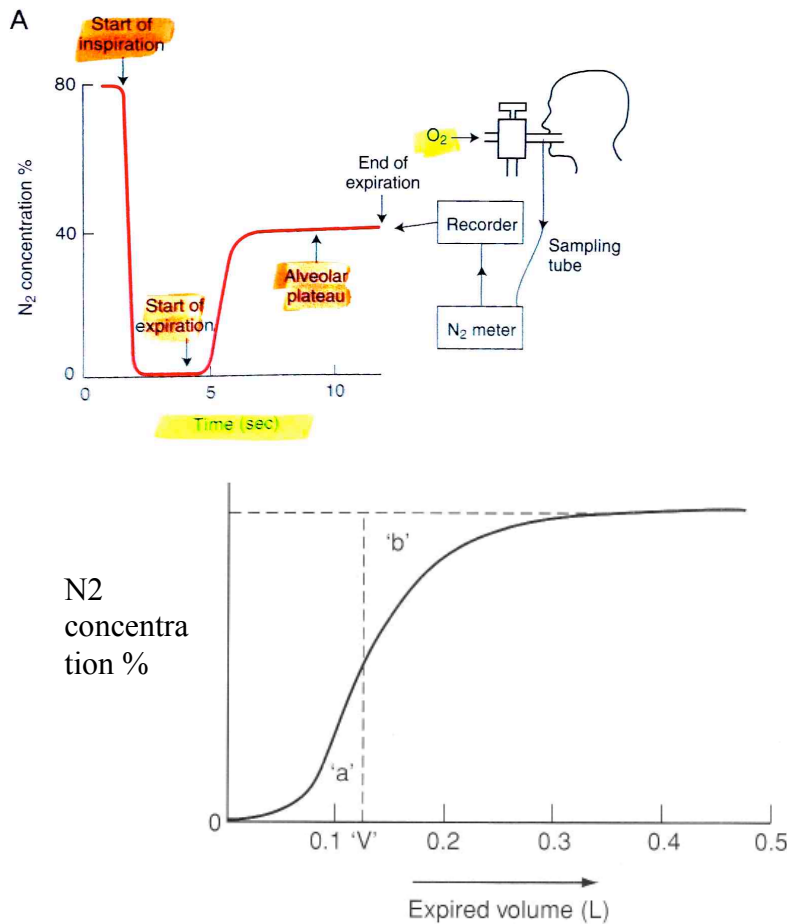
(2) + ideal alveolar gas = end expired gas

Physiological Importance of Dead Space

- essentially it causes alveolar hypoventilation
- this can lead to:
 - hypoxaemia – can usually be overcome by ↑ing Fio₂ (see alveolar gas equation)
 - hypercapnia – impt as ↑PaCO₂ can cause:
 - hypoxaemia – esp on RA (alveolar gas equation)
 - resp acidosis
 - ↑symp n.s. stim
 - arrhythmias – combo of ↑SNS & hypoxaemia
 - variable effects on SVR:
 - [initially] VC due to symp n.s.
 - [later] VD due to direct effect CO₂
 - CNS depression – PaCO₂ >100mmHg = direct anaesthetic effect
 - ↑Cerebral blood flow ⇒ ↑ICP
 - ↑RR (if spont vent) ⇒ ↑WOB

Anatomic Dead Space

- Norm ~150ml or (2.2ml/kg)
- Factors influence size:
 - large inspirations: ↑size due to pull on bronchi by surrounding lung parenchyma
 - size
 - posture
- Use **Fowlers method** to measure:
 - Take tidal breath (V_t) of 100% O₂
 - A rapid nitrogen analyser is placed in circuit (can also be CO₂)
 - Expired N₂ is then plotted against volume exhaled (**not time**)



If area 'a' = area 'b'
Then 'V' = anatomical dead space

- Initially expire pure O₂ (ie no N₂) as last in, first out
- Then the N₂ concentration will slowly rise as alveolar gas washes out the dead space gas
↳ ie 100% O₂
- This continues until uniform gas concentration seen
↳ = alveolar plateau which represents make up of pure alveolar gas
- Alveolar plateau – close to flat plateau in norm in healthy subjects
↳ in lung diseases may rise steeply
- The vertical line V on the graph is drawn where area a & area b are equal
 - V = volume of conducting airway up to where rapid dilution of inspired gas with alveolar gas occurs ie anatomical dead space
- Normal value of dead space ~ 2.2ml/kg (~150ml in adult)
- Factors which increase:
 - Neck extension
 - Jaw protrusion
- Factors decrease:
 - ETT
 - Erect ⇒ supine

Physiologic Dead Space

- Bohr's Method – measures volume of lung which does not eliminate CO₂
- ∴ physiologic dead space

$$\frac{\text{Volume of Dead Space (V}_D\text{)}}{\text{Volume of Tidal Volume (V}_T\text{)}} = \frac{\text{Alveolar Expired CO}_2\text{ (P}_A\text{CO}_2\text{)} - \text{Mixed Expired PCO}_2\text{ (P}_E\text{CO}_2\text{)}}{\text{P}_A\text{CO}_2\text{ (ideal alveolar PCO}_2\text{)}}$$

- can be re-arranged:

$$V_D = \frac{P_A\text{CO}_2 - P_E\text{CO}_2}{P_A\text{CO}_2} \times V_T - (\text{minus}) \text{ apparatus DS}$$

- Principle:
 - All expired CO₂ comes from (ideal) alveolar gas & none from dead space
 - Physiologic DS thus =
 - part of V_t that does not eliminate CO₂
 - includes anatomical & alveolar DS
 - functional measurement
- An average true representation of alveolar gas sample cannot be measured DUE to regional variations in V/Q ratio's in normal lung
 - ↳ ∴ term 'ideal alveolar gas' is used which would exist if all of lung had same V/Q ratio (=1)
- In practise PaCO₂ is used as an estimate of ideal PACO₂ and substituted into equation:
 - ↳ = Enghoff modification:

$$V_D / V_T = \frac{P_a\text{CO}_2 - P_E\text{CO}_2}{P_a\text{CO}_2} \quad \text{or} \quad V_D = \frac{P_a\text{CO}_2 - P_E\text{CO}_2}{P_a\text{CO}_2} \times V_T - \text{apparatus DS}$$

- Can create an estimate of alveolar DS with substitution into equation:

$$V_{D\text{alveolar}} / V_T = \frac{P_a\text{CO}_2 - P_E\text{CO}_2}{P_a\text{CO}_2}$$

- In ICU/theatre practise we use PaCO₂ - P_ECO₂ difference as an index of alveolar DS
- Physiological & anatomical dead space in healthy very similar
 - ↳ in lung disease: unequal blood flow & ventilation ⇒ ↑↑physiological ds

Functional Residual Capacity

Definition

- Different definitions – simpler the better:
 - = volume of gas which remains in the lungs at end of normal expiration
 - = equilibrium point between tendency of chest wall (& diaphragm) to move out vs tendency of lungs to collapse
 - ↳ these opposing forces create -ve intrapleural pressure
- normal value = ~30ml/kg
- volume established within 30-60mins after birth and remains same for whole life
 - ↳ what does change (esp neonates & elderly) is closing volume
 - ↳ \uparrow closing capacity \Rightarrow \uparrow closing volume \Rightarrow \uparrow shunting
 - ↳ no change in FRC
- capacity \therefore = RV + ERV

Effects on FRC

- Major factors :
 - **Height**
 - **Weight**
 - **Position**
 - **Disease**
 - **Muscle relaxation** (ie anaesthesia +/- muscle relaxants)
- Increase in FRC:
 - \uparrow Height
 - Supine \rightarrow erect (largest change is from 0 \rightarrow 60 degrees)
 - \downarrow in lung elastic recoil (emphysema) ie \uparrow compliance
 - PEEP
 - Males (10% \uparrow)
- Decrease in FRC:
 - Obesity
 - Muscle paralysis (loss of diaphragmatic end expir tone)
 - Erect \rightarrow supine
 - Disease causing \uparrow elastic recoil of lungs
 - Pregnancy
 - Anaesthesia (supine , \downarrow muscle tone)

Functions of FRC:

- Oxygen store
- Buffer to maintain steady PaO₂ (esp during expiration)
- Prevention of atelectasis
- \downarrow Work of breathing (keep lungs on steep part of compliance curve)
- Keeps Pulmonary vascular resistance (PVR) at a *minimum*.
- \downarrow V/Q mismatch
- Keeps airways resistance low (not at minimum though)
 - ↳ Below FRC, airways resistance increases dramatically

Oxygen Store

- Norm lungs contain ~290ml O₂ in adult
- With denitrogenation of lungs (ie preoxygenation ~3min of 3-4VC breaths) \Rightarrow O₂ store \uparrow 1800ml
- \uparrow time to desaturation of 7-8mins
- Best way to measure effectiveness of preoxygenation is measure ET O₂ fraction (FEO₂)

- $FEO_2 \approx FAO_2$ (alveolar O_2 fraction)
- Typical FRC volume = 2.2 litres which normally contains 21% O_2 = 462mls O_2
- In norm adult with complete preoxygenation ($FAO_2 > 0.9$) lungs should contain around 2000ml O_2
- Total body oxygen consumption ≈ 250 mls/min
- \therefore apnoea with norm store takes around 1-2min (462/250)
- If FRC preoxygenated to FAO_2 0.9:
 - $2200 \times 0.9 = 1980$ mls
 - $1980/250 = 7.92$ mins

NB the importance of 100% O_2 pre-ox is $\uparrow O_2$ store (only slight $\uparrow CaO_2$ & SpO_2 seen)

Minimizing PVR

- PVR varies with lung volume – it is high at large and small lung volumes
- Minimum value for PVR = at FRC:
 - Above FRC: \uparrow PVR due to stretching of pulmon capillaries (alveolar vessels)
 - Below FRC: \uparrow PVR due to \downarrow caliber of extra-alveolar vessels
 - \hookrightarrow at larger lung volumes these vessels stretched open by elastic fibres in lung parenchyma
- Alveolar & extra-alveolar vessels are in series
- PVR = Pulmon capillaries contribute 50-60% of total PVR
- (SVR = arterioles contribute $\sim 80\%$)

Closing Volume/Capacity

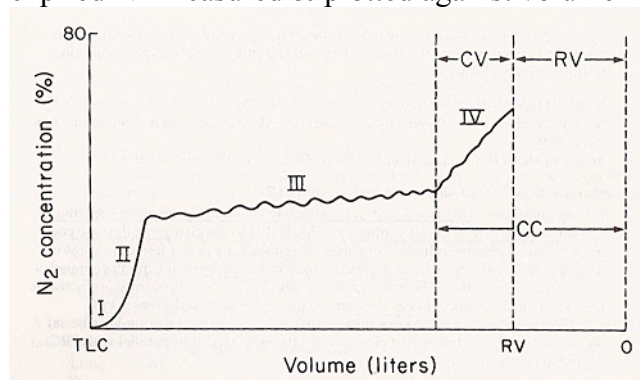
- closing capacity (CC) = the residual lung volume at which small airways in the dependant parts of the lungs **start** closing during expiration
- closing volume (CV) = lung volume from beginning of airway closure to the end of max expiration
 - \hookrightarrow ie the portion of VC which can (with max effort) be exhaled after the **onset** of airways closure

$$\hookrightarrow \therefore CV = CC - RV$$

normal = ~ 7 ml/kg or 10% of vital capacity

Measurement

- single N_2 breath test
- most common = N_2 breath test:
 - (\hookrightarrow other techniques eg bolus technique with inert tracer gas like Helium/Xenon/Argon)
 - comparable to Fowlers' method for measurement of anatomical DS:
 - full expiration (from RV)
 - vital capacity breath of 100% O_2
 - expired N_2 measured & plotted against volume



- III \Rightarrow IV interface: sudden $\uparrow N_2$ = early airway closure
- Phase IV ends at max expiration ie RV
 - $\hookrightarrow \therefore$ phase IV = CV

- To find CC then need to measure RV: see on next pages

Reason for $\uparrow N_2$ at Start of Small airway closure

- Starting at RV just before single breath of 100% (prior to beginning of test):
 - N_2 conc is nearly uniform through lung – (slight incr in N_2 at base)
 - Basal alveoli start out much smaller than apical alveoli
 - @ end of VC inspiration all alveoli = same size
 - ↳ basal alveoli have \uparrow ed size > apical ones \therefore basal N_2 must be more diluted
 - subsequent expiration: upper & lower zones initially empty together \Rightarrow expired N_2 constant
 - dependant airways begin to close
 - higher conc N_2 in upper zones contribute more to expired gas \Rightarrow sudden rise (phase 4)
- this test only good if have small amount of small airways disease
 - ↳ too much disease and trace distorts so much cannot identify CV

Measurement of FRC or RV

- Can use nitrogen washout method:
 - FRC – start breathing 100% O_2 at end of normal end expiration
 - RV – start breathing 100% O_2 at end full expiration
- Total volume of expired gas over several minutes is then analysed to determine N_2 content as it is washed out
 - ↳ content = concentration x volume
- This N_2 could only have come from FRC or RV (depending on which phase of expiration started at)
- Once content known can then calculate volume **because** we know original conc of N_2 in the FRC (RV) in room air (ie 79%)

Ie concentration = amount/volume

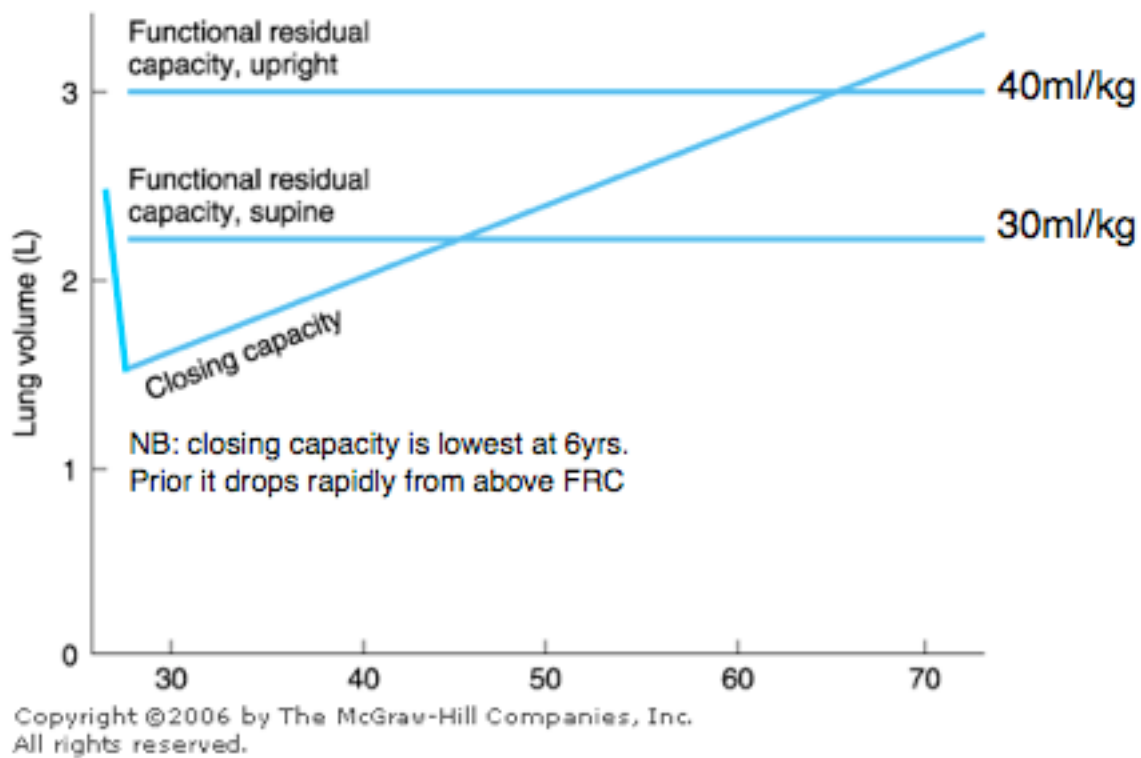
Or volume (FRC) = amount/concentration

- As with helium dilution method – doe not measure gas trapped behind closed airways

Relationship b/w FRC, CC & V/Q Matching

- If $CC > FRC \approx$ dependant airways will start to close during norm tidal breathing
 - ↳ \therefore \uparrow ing V/Q mismatching (ratio <1) will occur \Rightarrow $\downarrow PaO_2$
- Balance between sizes of CC & FRC:
 - $\uparrow CC$
 - $\downarrow FRC$
 - or both together
 - ↳ will lead to $CC > FRC$
- factors effecting FRC prev discussed
- factors \uparrow ing CC:
 - extremes of age ie neonates and elderly
 - via changes in intrapleural pressure ie less -ve (\uparrow ing positive):
 - neonates – floppy chest which collapses in
 - elderly - \downarrow ed elasticity of lung parenchyma
 - disease: emphysema/asthma – air trapping
 - smoking
- $CC = FRC$ in varying positions ie both \uparrow with age:

- 44yr in supine
- 66yr in erect



Age.....